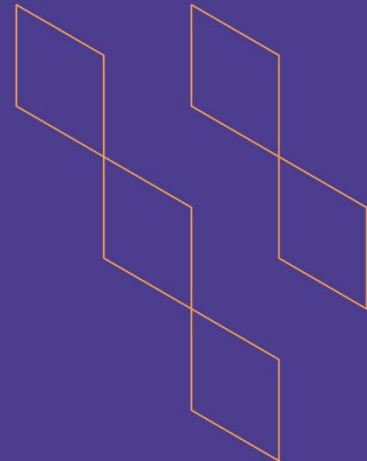




T-104  
2022

## Course Specification



Course Title: <b>Investment</b>
Course Code: <b>FIN 431</b>
Program: <b>Bachelor of Science in Business Administration / Finance</b>
Department: <b>Accounting and Finance</b>
College: <b>College of Business and Tourism</b>
Institution: <b>University of Prince Mugrin (UPM)</b>
Version: <b>2nd</b>
Last Revision Date: <b>August 13, 2023</b>



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## A. General information about the course:

Course Identification	
1. Credit hours:	3 credit hours
2. Course type	
a.	University <input type="checkbox"/> College <input type="checkbox"/> Department <input checked="" type="checkbox"/> Track <input type="checkbox"/> Others <input type="checkbox"/>
b.	Required <input checked="" type="checkbox"/> Elective <input type="checkbox"/>
3. Level/year at which this course is offered:	Level 7 / Year 4
4. Course General Description: This course focuses on financial theory and empirical evidence essential for making informed investment decisions. It covers key topics such as equity valuation models, fixed-income and credit-sensitive instruments, and behavioral finance. Students will develop analytical skills to evaluate various investment opportunities and assess risk-return trade-offs in different financial instruments.	
5. Pre-requirements for this course (if any): FIN 301, STAT 311	
6. Co- requirements for this course (if any): None	
7. Course Main Objective(s): At the end of this course, students should be able to:	
<ul style="list-style-type: none"> <li>• Discuss various financial instruments and markets.</li> <li>• Underline the importance of the bond market and money market.</li> <li>• Apply various portfolio management techniques.</li> <li>• Evaluate investment management performance (technical analysis).</li> </ul>	

### 1. Teaching mode (mark all that apply)

No	Mode of Instruction	Contact Hours	Percentage
1.	Traditional classroom	45	100%
2.	E-learning		
3.	Hybrid <ul style="list-style-type: none"> <li>• Traditional classroom</li> <li>• E-learning</li> </ul>		
4.	Distance learning		

### 2. Contact Hours (based on the academic semester)

No	Activity	Contact Hours
1.	Lectures	45





2.	Laboratory/Studio	
3.	Field	
4.	Tutorial	
5.	Others (specify) Study Assignment	
Total		45



## B. Course Learning Outcomes (CLOs), Teaching Strategies and Assessment Methods

Code	Course Learning Outcomes	Code of CLOs aligned with program	Teaching Strategies	Assessment Methods
1.0	Knowledge and understanding			
1.1	<b>Describe</b> different asset classes, financial instruments, and main financial market players to explain the investment management process.	FIN PLO K1	<ul style="list-style-type: none"> <li>Matrix activities</li> <li>Group discussion</li> <li>Demonstrations</li> <li>Mind map</li> </ul>	<ul style="list-style-type: none"> <li>Quizzes</li> <li>Matrix activity</li> <li>Short answers</li> </ul>
1.2	<b>Identify</b> key financial analysis techniques used to evaluate investment opportunities.	FIN PLO K3	<ul style="list-style-type: none"> <li>Memory activities</li> <li>Highlight keywords</li> <li>Demonstrations</li> </ul>	<ul style="list-style-type: none"> <li>Concept map</li> <li>Clicker questions</li> <li>Multiple choice</li> <li>True and false</li> </ul>
2.0	Skills			
2.1	<b>Apply</b> modern portfolio theory to make informed investment decisions while considering ethical practices and standards.	FIN PLO S2	<ul style="list-style-type: none"> <li>Calculate</li> <li>Case studies</li> <li>Short answers</li> </ul>	<ul style="list-style-type: none"> <li>One-minute paper</li> <li>Short answers</li> <li>Matrix activity</li> </ul>
2.2	<b>Analyze</b> potential investments using various financial analysis techniques to assess risk and return.	FIN PLO S1	<ul style="list-style-type: none"> <li>Calculate</li> <li>Group investigation</li> <li>Problem-solving tasks</li> </ul>	<ul style="list-style-type: none"> <li>Short answers</li> <li>Case study</li> <li>Problem-solving exercise</li> </ul>
2.3	<b>Evaluate</b> the performance of different investment vehicles, including stocks, bonds, mutual funds, and ETFs, to align with financial goals.	FIN PLO S1	<ul style="list-style-type: none"> <li>Compare and contrast</li> <li>Concept map</li> <li>Flipped classroom</li> <li>Pros and cons list</li> </ul>	<ul style="list-style-type: none"> <li>Analysis paper</li> <li>Argumentative and persuasive writing</li> <li>Evaluation criteria</li> </ul>
3.0	Values, Autonomy, and Responsibility			
3.1	Being autonomous in doing technical analysis to evaluate investment companies' strategies.	V3	<ul style="list-style-type: none"> <li>Reflection exercises</li> <li>Brainstorm ideas</li> <li>Problem-solving exercises</li> </ul>	<ul style="list-style-type: none"> <li>Attendance</li> <li>Memory tests</li> <li>Write a summary of key</li> </ul>





Code	Course Learning Outcomes	Code of CLOs aligned with program	Teaching Strategies	Assessment Methods
				points of the presentation
3.2	Function effectively in teams.	V3	<ul style="list-style-type: none"> <li>Group discussions</li> <li>Written assignments</li> </ul>	<ul style="list-style-type: none"> <li>Feedback and peer evaluation</li> <li>Reflection piece</li> <li>One-minute paper</li> </ul>
...				

### C. Course Content

No	List of Topics	Contact Hours
1.	<b>Introduction to Investment</b> – The role of investments, financial markets, and investment objectives.	3
2.	<b>Investment Environment</b> – Economic influences, financial instruments, and market structures.	3
3.	<b>Security Markets and Trading</b> – Market organization, regulatory environment, and trading mechanisms.	6
4.	<b>Risk and Return Fundamentals</b> – Relationship between risk and expected return, measurement of risk.	6
5.	<b>Equity Valuation Techniques</b> – Fundamental analysis, discounted cash flow (DCF), and relative valuation.	6
6.	<b>Fixed-Income Securities (Bonds)</b> – Bond pricing, yield measures, and interest rate risk.	6
7.	<b>Options and Derivatives</b> – Basics of options, futures, and other derivatives used for hedging and speculation.	3
8.	<b>Behavioral Finance and Market Anomalies</b> – How investor psychology impacts investment decisions.	3
9.	<b>The Efficient Market Hypothesis (EMH)</b> – Market efficiency and its implications for investment strategies.	3
10.	<b>Investment Performance Measurement</b> – Metrics for evaluating investment success, benchmarking, and risk-adjusted returns.	6
<b>Total</b>		<b>45</b>





## D. Students Assessment Activities

No	Assessment Activities *	Assessment timing (in week no)	Percentage of Total Assessment Score
1.	Quizzes	4, 9	10%
2.	Assignment	2, 8, 11	15%
3.	Midterm	8	20%
4.	Project Report	12	10%
5.	Presentation	13	5%
4.	Final	16	40%
5.	Total		100%
6.			

\*Assessment Activities (i.e., Written test, oral test, oral presentation, group project, essay, etc.)

## E. Learning Resources and Facilities

### 1. References and Learning Resources

Essential References	Zvi, Bodie and Alex Kane and Alan Marcus, Essentials of Investment 12 <sup>th</sup> Edition ISBN-10: 1265450099 ISBN-13: 978-1265450090
Supportive References	Saudi Digital Library
Electronic Materials	Bloomsburg Saudi Stock Exchange Saudi Exchange <a href="https://cpa.org.sa/">https://cpa.org.sa/</a> <a href="https://www.investopedia.com/">https://www.investopedia.com/</a> <a href="https://www.tadawul.com.sa">https://www.tadawul.com.sa</a> <a href="http://www.yahoofinance.com">www.yahoofinance.com</a>
Other Learning Materials	Financial Calculator

### 2. Required Facilities and Equipment

Items	Resources
Facilities (Classrooms, laboratories, exhibition rooms, simulation rooms, etc.)	Classroom, Library



Items	Resources
Technology equipment (projector, smart board, software)	Online discussion forum, Projector, Smart Board
Other equipment (depending on the nature of the specialty)	Online databases

## F. Assessment of Course Quality

Assessment Areas/Issues	Assessor	Assessment Methods
Effectiveness of teaching	<ul style="list-style-type: none"> <li>Peer Review</li> <li>Assistance from Colleagues</li> <li>HoD visit</li> <li>Students</li> </ul>	<b>Direct Assessment:</b> <ul style="list-style-type: none"> <li>Class observation</li> </ul> <b>Indirect Assessment:</b> <ul style="list-style-type: none"> <li>Via course evaluation survey</li> </ul>
Effectiveness of students' assessment	<ul style="list-style-type: none"> <li>Instructor</li> <li>Peers</li> </ul>	<b>Direct Assessment:</b> <ul style="list-style-type: none"> <li>Peer reviews</li> </ul> <b>Indirect Assessment:</b> <ul style="list-style-type: none"> <li>Via course report</li> </ul>
Quality of learning resources	<ul style="list-style-type: none"> <li>Students</li> <li>Instructor</li> </ul>	<b>Direct Assessment:</b> <ul style="list-style-type: none"> <li>Inventory checks with library</li> </ul> <b>Indirect Assessment:</b> <ul style="list-style-type: none"> <li>Via course evaluation survey</li> </ul>
The extent to which CLOs have been achieved	Instructor  Students	<b>Direct Assessment:</b> <ul style="list-style-type: none"> <li>Collecting data from different assessment activities</li> </ul> <b>Indirect Assessment:</b> <ul style="list-style-type: none"> <li>Via Course Evaluation Survey</li> </ul>
Other		

**Assessor** (Students, Faculty, Program Leaders, Peer Reviewer, Others (specify))

**Assessment Methods** (Direct, Indirect)

## G. Specification Approval Data

COUNCIL /COMMITTEE	ACCT & FIN DC
REFERENCE NO.	ACCT&FIN_DC_3_23-24
DATE	15 DEC 2023





